

FORM 10-1390 (REV 10-2000) DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		AGENT'S DOCKET NUMBER 1702.401600
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53) not yet assigned 097674913
INTERNATIONAL APPLICATION NO. PCT/NO99/00141	INTERNATIONAL FILING DATE April 30, 1999	PRIORITY DATE CLAIMED May 8, 1998
TITLE OF INVENTION Frameshift Mutants of Beta-Amyloid Precursor Protein and Ubiquitin-B and Their Use		
APPLICANT(S) FOR DO/EO/US Gustav GAUDERNACK, Jon Amund ERIKSEN and Mona MØLLER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 16 below concern other document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.		
14. <input type="checkbox"/> A substitute specification.		
15. <input type="checkbox"/> A change of power of attorney and/or address letter.		
16. <input checked="" type="checkbox"/> Other items or information:		
(i) Sequence Listing - Paper Copy (ii) Sequence Listing - Computer Readable Copy (i.e., diskette) (iii) Statement Under 37 C.F.R. § 1.821(f) (iv) International Search Report (v) International Preliminary Examination Report		

09/67-913

529 Rec'd

PCT/PTC 08 NOV 2000
1702.40160017. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 1000

00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

Rate

\$ 828

00

☐ Total Claims

66-20 =

46

X \$18.00

\$ 0

00

☒ Independent Claims

1-3 =

0

X \$80.00

\$ 270

00

Multiple dependent claim(s) (if applicable)

+ \$270.00

TOTAL OF ABOVE CALCULATIONS =

\$

00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

00

SUBTOTAL =

\$ 2098

00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 2098

00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 2098

00

Amount to be:**refunded**

\$

charged

\$

a. ☒ A check in the amount of \$2098.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FITZPATRICK, CELLA HARPER & SCINTO
30 Rockefeller Plaza
New York, New York, 10112-3801
Facsimile: (212) 218-2200

SIGNATURE

John W. Behringer

NAME

23,086

REGISTRATION NUMBER

November 8, 2000

DATE

1702.401600

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: Unassigned
GUSTAV GAUDERNACK, ET AL.)
: Group Art Unit: Unassigned
Int'l Appln. No.: PCT/NO99/00141)
:
Int'l Publn. No.: WO 99/58564)
:
U.S. Appln. No.: Unassigned)
:
Nat'l Entry Date: November 8, 2000)
:
For: FRAMESHIFT MUTANTS OF) November 8, 2000
BETA-AMYLOID PRECURSOR)
PROTEIN AND UBIQUITIN-B)
AND THEIR USE)

Commissioner For Patents
Washington, D.C. 20231

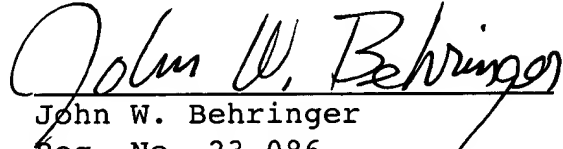
STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir:

Applicants hereby submit that the sequence listing
information recorded in computer readable form on the attached
diskette is identical to the written sequence listing filed
concurrently herewith.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should be directed to our address listed below.

Respectfully submitted,


John W. Behringer
Reg. No. 23,086
Attorney for Applicants

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York, 10112-3801
Facsimile: (212) 218-2200

NMD\vjw

Assistant Commissioner for Patents
Washington, D.C. 20231

Date 11 / 18 / 2000
Mo Day Yr.

Atty. Docket 1700-401600

Sir:

Kindly acknowledge receipt of the accompanying:

☒ Specifications, claims and abstract 24 pages, with Transmittal Form for Filing
and Sequence Listing (pages) and PCT cover page Under 35 USC 371

☐ Oath or Declaration and Power of Attorney ☐ Executed ☐ Not Executed

☐ _____ Sheets of _____ formal _____ informal drawings

☒ Check for \$ 2098⁰⁰ (filing fee)

☐ Small entity declaration

☐ Assignment, PTO-1595 and Check for \$ _____

☐ Transmittal Under 37 CFR 1.53(d) (CPA)

☐ Petition under 37 CFR 1.136 and check for \$ _____

☒ Other (specify) DSKE copy of Sequence Listing, Statement Under
37 CFR 1.821(c); Preliminary Amendment, Entle Search
Report

by placing your receiving date stamp hereon and mailing or returning to deliver
This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part Art. 14 Ch. in Amendments

37 CFR 1.8 ☐

37 CFR 1.10 ☐

By Hand ☒

Atty. JWP/jlw/jw

Due Date 11 / 18 / 2000
Mo Day Yr.



FOHS-A-95